

Russia

Specification Restrictive measures in view of Russia's actions destabilising the situation in Ukraine (sectoral restrictive measures)

Adopted by EU

Expiry date 31.01.2023

Last amendment date 06.10.2022

Notes On 6 March 2014, EU's Heads of State or Government strongly condemned the unprovoked violation of Ukrainian sovereignty and territorial integrity by Russia and called on the Russian Federation to immediately withdraw its armed forces to the areas of their permanent stationing. They stated that any further steps by Russia to destabilise the situation in Ukraine would lead to additional and far reaching consequences for relations in a broad range of economic areas.

On 22 July, the Council of the EU urged Russia to actively use its influence over the illegally armed groups in order to achieve full, immediate, safe and secure access to the site of the downing of Malaysian Airlines Flight MH17 in Donetsk, full cooperation with the work on recovering remains and possessions and full cooperation with the independent investigation, including unhindered access to the site for as long as needed for the investigation and possible follow up investigations. The Council of the EU also urged Russia to stop the increasing flow of weapons, equipment and militants across the border in order to achieve rapid and tangible results in de-escalation. The Council of the EU further urged Russia to withdraw its additional troops from the border area. In view of the gravity of the situation, on 31 July 2014 the Council of the EU took restrictive measures in response to Russia's actions destabilising the situation in Ukraine.

On 30 August 2014, the European Council condemned the increasing inflows of fighters and weapons from the territory of Russian into Eastern Ukraine and the aggression of Russian armed forces on Ukrainian soil. On 8 September 2014, the Council of the EU took further restrictive measures in response to Russia's actions destabilising the situation in Ukraine. On 4 December 2014, the Council of the EU clarified certain provisions. On 19 March 2015 the European Council agreed that the duration of the restrictive measures should be clearly linked to the complete implementation of the Minsk agreements. On 22 June 2015, the Council of the EU renewed the restrictive measures for a further six months in order to enable the Council to assess the implementation of the Minsk agreements.

On 21 December 2015, the Council of the EU found that the Minsk agreements will not be completely implemented by 31 December 2015 and renewed the restrictive measures for a further six months in order to enable the Council to further assess the implementation of those agreements.

In March 2016, EU's Foreign Affairs Council agreed on five guiding principles for EU-Russia relations:

- Implementation of the Minsk agreement as the key condition for any substantial change in the EU's stance towards Russia.
- Strengthened relations with the EU's Eastern Partners and other neighbours, in particular in Central Asia.
- Strengthening the resilience of the EU (for example energy security, hybrid threats, or strategic communication).
- Need for selective engagement with Russia on issues of interest to the EU.
- Need to engage in people-to-people contacts and support Russian civil society.

On 1 July and 19 December 2016, on 28 June and 21 December 2017, on 05 July and 21 December 2018, on 27 June and 19 December 2019, on 29 June and 17 December 2020, on 12 July 2021 and on 13 January 2022 having assessed the implementation of the Minsk agreements, the Council of the EU renewed the restrictive measures for a further six months in order to enable the Council to further assess their implementation.

On 22 February 2022, the EU strongly condemned the decision of the President of the Russian Federation on 21 February 2022 to recognize the non-government controlled areas of Donetsk and Luhansk oblasts of Ukraine as independent entities and the ensuing decision to send Russian troops into these areas. The EU considers that this as an illegal act further undermining Ukraine’s sovereignty and independence and is a severe breach of international law and international agreements. In view of the situation, on 23 February 2022, the Council of the EU adopted further sectoral restrictive measures. These measures introduced a prohibition to finance the Russian Federation, its Government and Central Bank.

On 24 February 2022, the President of the Russian Federation announced a military operation in Ukraine and Russian armed forces began an attack on Ukraine. The EU strongly condemned the unprovoked invasion of Ukraine by armed forces of the Russian Federation and the involvement of Belarus in this aggression against Ukraine. In view of the gravity of the situation, the Council adopted further restrictive measures. These measures prohibit export of dual-use goods as well as those goods that can contribute to Russia’s defence and security capabilities; prohibit public financing or financial assistance for trade with, or investment in Russia; prohibit the export of goods and technology for use in oil refining and impose a set of prohibitions in aviation sector. In addition, the measures ban a range of financial interactions and transactions with Russia.

On 28 February 2022, the Council adopted further measures to respond to the unprovoked and unjustified military aggression carried out by the Russian Federation against Ukraine. The measures include a prohibition on all transactions with the Central Bank of Russia and a prohibition on the overflight of EU airspace and on access to EU airports by Russian carriers. In addition, on 1 March 2022, the Council introduced further measures excluding key Russian banks from the SWIFT system, the world’s dominant financial messaging system, as well as suspending the transmission and distribution of certain State-owned disinformation outlets.

On 9 March 2022, the Council adopted further measures with regard to the export of maritime navigation goods and radio communication technology to Russia and on 15 March 2022 the Council introduced another package of sectoral economic measures. These measures include further financial and trade prohibitions.

Measures



Arms export

It is prohibited to export arms and related materiel to Russia. Related technical or financial assistance and services are also prohibited.

EU Common Military List



Arms import

It is prohibited to import, purchase or transport arms and related materiel from Russia.

EU Common Military List



Dual-use goods export

It is prohibited to sell, supply, transfer or export dual-use goods and technology to any person or entity in Russia or for use in Russia. The sale, supply, transfer or export of dual use goods and technology, which might contribute to Russia's military and technological enhancement or the development of the defence and security sector, as listed in Annex VII of Regulation (EU) 833/2014, is also prohibited. Related technical or financial assistance and brokering or other services are also prohibited.

Dual-use goods and technology



Financial measures

It is prohibited to purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money market instruments with a maturity exceeding 30 days, issued after 12.9.2014 to 12.4.2022 or any transferable securities and money market instruments issued after 12.4.2022 by an entity established in Russia engaged in the conception, production, sales or export of military equipment or services, as listed in Annex V of Regulation (EU) 833/2014; or by an entity established in Russia listed in Annex VI of Regulation (EU) 833/2014.

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX V of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		OPK OBORONPROM	...
E		UNITED AIRCRAFT CORPORATION	...
E		URALVAGONZAVOD	...

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX VI of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		ROSNEFT	...
E		TRANSNEFT	...
E		GAZPROM NEFT	...



Financial measures

It is prohibited to provide trust services to Russian nationals or persons residing in Russia and entities established in Russia.



Financial measures

It is prohibited for any Russian nationals or entities established in Russia to participate in procurement contracts in the Union.



Financial measures

It is prohibited to provide credit rating services and access to any subscription services in relation to credit rating activities to any Russian national or person residing in Russia or an entity established in Russia.



Financial measures

It is prohibited to sell, supply, transfer or export banknotes denominated in any official currency of a Member State to Russia or to any person or entity in Russia, including the government and the Central Bank of Russia, or for use in Russia.



Financial measures

It is prohibited as of 12.3.2022 to provide specialised financial messaging services to the entities listed in Annex XIV of Regulation (EU) 833/2014 or to any entity established in Russia whose proprietary rights are owned for more than 50% by an entity listed in Annex XIV.

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX XIV of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		Bank Otkritie	...

Type	FSD ID	Name	Date of designation
E		Novikombank	...
E		Promsvyazbank	...
E		Bank Rossiya	...
E		Sovcombank	...
E		VNESHECONOMBANK (VEB)	...
E		VTB BANK	...
E		Sberbank	...
E		Credit Bank of Moscow	...
E		Joint Stock Company Russian Agricultural Bank, JSC Rosselkhozbank	...



Financial measures

It is prohibited to sell transferable securities denominated in any official currency of a Member State issued after 12.4.2022 to any Russian national or person residing in Russia or an entity established in Russia.



Financial measures

It is prohibited for Union central securities depositories to provide any services as defined in the Annex of Regulation (EU) 909/2014 for transferable securities issued after 12.4.2022 to any Russian national or person residing in Russia or an entity established in Russia.



Financial measures

It is prohibited to accept any deposits from Russian nationals or persons residing in Russia or entities established in Russia or from an entity outside the Union if its proprietary rights are owned for more than 50% by Russian nationals or persons residing in Russia, if the total value of deposits of the person or entity per credit institution exceeds EUR 100 000. It is also prohibited to provide crypto-asset wallet, account or custody services to Russian nationals or persons residing in Russia or entities established in Russia.



Financial measures

It is prohibited to engage in any transaction with an entity established in Russia, which is publically controlled or with over 50% public ownership, as listed in Annex XIX of Regulation (EU) 833/2014; or with an entity established outside the union whose proprietary rights are owned for more than 50% by an entity listed in Annex XIX. Certain exemptions apply. It is also prohibited as from 22.10.2022 to hold any posts in the governing bodies of any entity referred to above.

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX XIX of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		OPK OBORONPROM	...
E		UNITED AIRCRAFT CORPORATION	...
E		URALVAGONZAVOD	...
E		ROSNEFT	...
E		TRANSNEFT	...
E		GAZPROM NEFT	...
E		ALMAZ-ANTEY	...
E		KAMAZ	...
E		NOVOROSSIYSK COMMERCIAL SEA PORT	...
E		ROSTEC (RUSSIAN TECHNOLOGIES STATE CORPORATION)	...
E		JSC PO SEVMASH	...
E		SOVCOMFLOT	...
E		UNITED SHIPBUILDING CORPORATION	...



Financial measures

Transactions related to the management of reserves as well as of assets of the Central Bank of Russia are prohibited.



Financial measures

It is prohibited to list and provide services as of 12.4.2022 on trading venues registered or recognised in the Union for the transferable securities of any entity established in Russia and with over 50% public ownership.



Financial measures

It is prohibited to purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money market instruments, issued after 12.4.2022 by an entity established in Russia, which is publicly controlled or with over 50% public ownership, as listed in Annex XIII of Regulation (EU) 833/2014, or by an entity established outside the Union whose proprietary rights are owned for more than 50% by an entity listed in Annex XIII.

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX XIII of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		Almaz-Antey	...
E		Kamaz	...
E		Novorossiysk Commercial Sea Port	...
E		Rostec (Russian Technologies State Corporation)	...
E		Russian Railways	...
E		JSC PO Sevmash	...
E		Sovcomflot	...
E		United Shipbuilding Corporation	...
E		Russian Maritime Register of Shipping	...



Financial measures

It is prohibited to purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money-market instruments issued after 9.3.2022 by Russia and its government, Central Bank of Russia or any entity acting on behalf of it. It is also prohibited to make or be part of any arrangement to make new loans or credit to the previously mentioned entities after 23.2.2022.



Financial measures

It is prohibited to purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money-market instruments issued after 12.4.2022 by any major credit institution or other institution with over 50% public ownership or control as of 26.2.2022 or any other credit institution as listed in Annex XII of Regulation (EU) 833/2014; or an entity established outside the Union whose proprietary rights are owned for more than 50% by an entity listed in Annex XII.

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX XII of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		Alfa Bank	...
E		Bank Otkritie	...
E		Bank Rossiya	...
E		Promsvyazbank	...



Financial measures

It is prohibited to make or be part of any arrangement to make new loans or credit with a maturity exceeding 30 days to any person or entity listed in Annex III, V or VI of Regulation (EU) 833/2014, after 12.9.2014 to 26.2.2022; or to any person or entity listed in Annex III, V, VI, XII or XIII of Regulation (EU) 833/2014, after 26.2.2022.

Institutions, legal persons, entities and bodies related to the financial sanctions

Annex III, V, VI, XII and XIII of Council Regulation No 833/2014

Type	FSD ID	Name	Date of designation
E		Sberbank	31.07.2014

Type	FSD ID	Name	Date of designation
E		VTB Bank	31.07.2014
E		Gazprombank	31.07.2014
E		Vnesheconombank (VEB)	31.07.2014
E		Rosselkhozbank	31.07.2014
E		OPK OBORONPROM	08.09.2014
E		UNITED AIRCRAFT CORPORATION	08.09.2014
E		URALVAGONZAVOD	08.09.2014
E		ROSNEFT	08.09.2014
E		TRANSNEFT	08.09.2014
E		GAZPROM NEFT	08.09.2014
E		Alfa Bank	...
E		Bank Otkritie	...
E		Bank Rossiya	...
E		Promsvyazbank	...
E		Almaz-Antey	...
E		Kamaz	...
E		Novorossiysk Commercial Sea Port	...
E		Rostec (Russian Technologies State Corporation)	...
E		Russian Railways	...
E		JSC PO Sevmash	...
E		Sovcomflot	...
E		United Shipbuilding Corporation	...
E		Russian Maritime Register of Shipping	...



Financial measures

It is prohibited to acquire new or extend existing participation in any entity operating in the energy sector in Russia. It is also prohibited to grant new loan or credit or otherwise provide financing to, or create any joint venture with, any entity operating in the energy sector in Russia. The provision of related investment services is also prohibited.



Financial measures

It is prohibited to purchase, sell, provide investment services for or assistance in the issuance of, or otherwise deal with transferable securities and money market instruments with a maturity exceeding 90 days (issued after 1.8.2014 to 12.9.2014), or with a maturity exceeding 30 days (issued after 12.9.2014 to 12.4.2022) or any transferable securities and money market instruments issued after 12.4.2022 by major credit institution established in Russia with over 50% public ownership or control as of 1.8.2014, as listed in Annex III of Regulation (EU) 833/2014, or an entity established outside the Union whose proprietary rights are owned for more than 50%, as listed in Annex III.

Institutions, legal persons, entities and bodies related to the financial sanctions

ANNEX III of Regulation (EU) 833/2014

Type	FSD ID	Name	Date of designation
E		SBERBANK	...
E		VTB BANK	...
E		GAZPROMBANK	...
E		VNESHECONOMBANK (VEB)	...
E		ROSSELKHOZBANK	...



Financial measures

It is prohibited to provide public financing or financial assistance for trade with, or investment in, Russia. It is also prohibited to invest, participate or otherwise contribute to projects co-financed by the Russian Direct Investment Fund.



Flights, airports, aircrafts

It is prohibited for any aircraft operated by Russian air carriers or for any non-Russian-registered aircraft which is owned or chartered, or otherwise controlled by any Russian person or entity, to take off from, land in or overfly the territory of the Union.



Other restrictions

It is prohibited for operators to broadcast or to enable, facilitate or otherwise contribute to broadcast, any content by the entities listed in Annex XV of Regulation (EU) 833/2014. It is also prohibited to advertise products or services in any content produced or broadcast by the entities listed in Annex XV.

Entities whose content it is prohibited for operators to broadcast

Type	FSD ID	Name	Date of designation
E		RT - Russia Today English	...
E		RT - Russia Today UK	...
E		RT - Russia Today German	...
E		RT - Russia Today France	...
E		RT - Russia Today Spanish	...
E		Sputnik	...
E		Rossiia RTR / RTR Planeta	...
E		Rossiia 24 / Russia 24	...
E		TV Centre International	...



Road transport

It is prohibited for any road transport undertaking established in Russia to transport goods by road within the Union, including in transit.



Ports and vessels

Member States shall prohibit the entry into their ports and to locks of any Russian registered vessels.



Prohibition to satisfy claims

It is prohibited to satisfy claims in connection with any contract or transaction the performance of which has been affected by any of the measures imposed under this restrictive measures regime, if they are made by entities listed in the Annexes of Regulation (EU) 833/2014 or entities established outside the Union whose proprietary rights are owned for more than 50% by them; by any Russian person, entity or body; or by any person, entity or body acting through or on behalf of one of the aforementioned.



Restrictions on goods

It is prohibited to sell, supply, transfer or export goods which could contribute to the enhancement of Russian industrial capacities, as listed in Annex XXIII of regulation (EU) 833/2014, to any person or entity in Russia or for use in Russia. Related technical or financial assistance and brokering or other services are also prohibited.



Restrictions on goods

It is prohibited to purchase, import or transfer goods which generate significant revenues for Russia thereby enabling its actions destabilising the situation in Ukraine, such as caviar, cement and wood products, as listed in Annex XXI of Regulation (EU) 833/2014, from Russia. Related technical or financial assistance and brokering services are also prohibited.



Aviation or the space industry

It is prohibited to sell, supply, transfer or export goods and technology suited for use in aviation or the space industry, as listed in Annex XI, and jet fuel and fuel additives as listed in Annex XX of Regulation (EU) 833/2014, to any person or entity in Russia or for use in Russia. It is also prohibited to provide any related insurance and reinsurance and certain related maintenance activities. Related technical or financial assistance and brokering or other services are also prohibited.



Coal and other products

It is prohibited to purchase, import or transfer coal and other products, as listed in Annex XXII of Regulation (EU) 833/2014, from Russia. Related technical or financial assistance and brokering services are also prohibited.



Crude oil

It is prohibited to purchase, import or transfer crude oil or petroleum products, as listed in Annex XXV of Regulation (EU) No 833/2014, from Russia. Related technical or financial assistance and brokering services are also prohibited. Certain exemptions apply.

Firearms, their parts and essential components and ammunition

It is prohibited to sell, supply, transfer or export firearms, their parts and essential components and ammunition, as listed in Annex I to Regulation (EU) No 258/2012, to Russia. Related technical and financial assistance and brokering services is also prohibited.

Gold

It is prohibited to purchase, import or transfer gold, as listed in Annex XXVI and Annex XXVII of Regulation (EU) No 833/2014, from Russia. Related technical or financial assistance and brokering services are also prohibited. Certain exceptions apply.



Iron and steel

It is prohibited to import, purchase or transfer iron and steel products, as listed in Annex XVII of Regulation (EU) 833/2014, from Russia. Related technical or financial assistance and brokering services are also prohibited.



Luxury goods

It is prohibited to sell, supply, transfer or export luxury goods, as listed in Annex XVIII of Regulation (EU) 833/2014, to any person or entity in Russia or for use in Russia.



Maritime navigation

It is prohibited to sell, supply, transfer or export maritime navigation goods and technology, as listed in Annex XVI of Regulation (EU) 833/2014, to any person or entity in Russia, for use in Russia or for the placing on board of a Russian-flagged vessel. Related technical or financial assistance and brokering or other services are also prohibited.



Oil refining

It is prohibited to sell, supply, transfer or export goods and technology suited for use in oil refining and liquefaction of natural gas, as listed in Annex X of Regulation (EU) 833/2014, to any person or entity in Russia or for use in Russia. Related technical or financial assistance and brokering or other services are also prohibited.



Other items

It is prohibited to sell, supply, transfer or export goods or technology, as listed in Annex II of Regulation (EU) 833/2014, to any person or entity in Russia or for use in Russia. It is also prohibited to sell, supply, transfer or export any goods or technology to any person or entity operating in the energy sector. Related technical or financial assistance and brokering or other services are also prohibited.



Restrictions on services

It is prohibited to provide accounting, auditing, bookkeeping or tax consulting services, or business and management consulting or public relations services, architectural and engineering services, legal advisory services and IT consultancy services to the Government of Russia or legal entities established in Russia.